

Electronic Verification - FATCA and CRS Self-Certification Form

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Dexus Asset Management Limited (ACN 080 674 479, AFSL No. 237500) (DXAM). DXAM may use the personal information collected about investors for any purpose stated in our Privacy Policy as well as for the purposes of verifying their identity in accordance with DXAM's obligations under Anti-Money Laundering Laws.

When conducting customer identification using electronic means:

- DXAM may disclose personal information about individuals to a credit reporting agency for the purposes of providing an assessment as to whether the personal information matches (in whole or part) personal information contained in a credit information file in the possession or control of the credit reporting agency.
- The credit reporting agency may provide DXAM with the assessment.
- The credit reporting agency may use the personal information about the individual, and the names, residential addresses and dates of birth contained in credit information files of other individuals, for the purpose of preparing the assessment. Other uses of your personal information by the credit reporting agency are restricted under Privacy laws.

You may consent to DXAM providing your personal information to a credit reporting agency for electronic verification purposes by signing the declaration below.

Please note, if this consent is not signed, you will need to provide DXAM with an original certified copy of documents so that we can verify your identity manually.

I/we consent to DXAM providing my/our personal information to a credit reporting agency for the purposes of conducting customer identification.

Individual Investor / Director name / Trustee and signature

Individual Investor / Director name / Trustee and signature

Individual Investor / Director name / Trustee and signature

Beneficial Owner 1 Name and signature

Beneficial Owner 2 Name and signature

Beneficial Owner 3 Name and signature

Foreign Account Tax Compliance Act (FATCA) declaration (mandatory)

Individuals, companies and trusts **MUST** complete this section.

Are you a United States (US) citizen or resident for tax purposes?

- No** (individuals go to section 12, companies and trusts continue below)
- Yes** you **MUST** complete this section for each Investor

US TIN¹ (First person, company or trust)

US TIN (Second person)

Australian companies, Corporate trustees of Australian trusts and Australian Trusts (except managed superannuation funds or a regulated super fund) **MUST** complete all questions below.

Is the company or trust exempt² for United States purposes?

- Yes** **No**

Are you a financial institution³, or trust with a trustee that is a financial institution?

- Yes** Please quote your Global Intermediary Identification Number (GIIN) or FATCA status:
- No**

Is the company or trust (please select one of the following):

- An Active Non-Financial Entity⁴ (including listed company or related entity⁵ of a listed company)
- A Passive Non-Financial Entity⁶ (that is not a listed company or related entity of a listed company). Please complete question 11.5 for each Controlling Person⁷ who is a US citizen or resident of the US for tax purpose.

If required, provide additional details on a separate sheet.

Common Reporting Standard (CRS) Self-Certification (Mandatory)

All investors **MUST** complete this section.

Investor

Are you a tax resident of Australia?

- Yes**
- Yes** and also a tax resident in other country(ies)
Note: Certain countries including, the US, treat all citizens as tax residents for tax purposes.
- No**

If you are also a tax resident in other country(ies) OR not a tax resident of Australia, please specify your place of birth, country of tax residence and provide the local TIN.

Place of birth (individuals only)

Country TIN

Country TIN

If you don't have a TIN, please provide a reason.

Joint Investor

Are you a tax resident of Australia?

Yes

Yes and also a tax resident in other country(ies)
Note: Certain countries including, the US, treat all citizens as tax residents for tax purposes.

No

If you are also a tax resident in other country (ies) OR not a tax resident of Australia, please specify your place of birth, country of tax residence and provide the local TIN.

Place of birth (individuals only)

Country TIN

Country TIN

If you don't have a TIN, please provide a reason.

Australian Entities

Australian Entities (non-individuals) **MUST** complete this section.

Is the company or trust (please select one of the following):

A Financial Institution

An Active Non-Financial Entity (including listed company or related entity of a listed company)

A Passive Non-Financial Entity (that is not a listed company or related entity of a listed company). Please complete Controlling Person section below.

Controlling Person (Complete if indicated above).

Please complete for each Controlling Person who is not an Australian tax resident.

If required, provide additional details on a separate sheet.

Full given name(s)

Surname

Date of birth / /

Place of birth

Residential address (PO Box is not acceptable)

Suburb State Postcode

Country of tax residence TIN

Country of tax residence TIN

Country of tax residence TIN

If you don't have a TIN, please provide a reason.

Important notes

1. The **"Taxpayer Identification Number" (TIN)** is an entity's or individual's personal identification number for tax administration purposes. You can check this with the local government. You can also visit the website www.oecd.org and search for "Taxpayer Identification Number".
2. Under the US Revenue Code, a US person (includes both individuals and entities), is a non-specified US Person and therefore exempt (not-reportable) if the entity is **US and**:
 1. A corporation, the stock of which is regularly traded on one or more established securities markets;
 2. Any corporation that is a member of the affiliated group as a corporation described in 1;
 3. Any organisation exempt from taxation under section 501(a) or an individual retirement plan as defined in section 7701(a)(37);
 4. The United States or any wholly owned agency or instrumentality thereof;
 5. Any State, the District of Columbia, any US territory, any political subdivision of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
 6. Any bank as defined in section 581;
 7. Any real estate investment trust as defined in section 856;
 8. Any regulated investment company as defined in Section 851 or any entity registered with the US Securities and Exchange Commission under the Investment Company Act of 1940 (15 USC 80a-64);
 9. Any common trust as defined in section 584(a);
 10. Any trust that is exempt from tax under section 664(c) or is described in section 4947(a)(1);
 11. A dealer in securities, commodities or derivative financial instruments (including notional contracts, futures, forwards and options) that is registered as such under the laws of the United States or any State;
 12. A broker as defined in section 6045(c); and
 13. Any exempt trust under a section 403(b) plan or section 457(g) plan.
3. A **"Financial Institution"** means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company.
4. An **"Active Non-Financial Entity"** includes:
 - a) An entity that is not a Financial Institution where in the preceding calendar year less than 50% of the entity's gross income was Passive Income; and less than 50% of the entity's assets were held for the production of Passive Income;
 - b) A corporation the stock of which is listed and regularly traded on an established securities market or a related entity of a listed corporation;
 - c) Holding entity that is a member of a non-financial group; or
 - d) Any other entity that satisfies the definition under CRS or FATCA (as relevant).
5. An Entity is a **"Related Entity"** of another Entity if either Entity controls the other Entity, or the two Entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.
6. A **"Passive Non-Financial Entity"** includes an entity that is not an Active Non-Financial Entity.
7. The term **"Controlling Persons"** means the natural persons who exercise control over an Entity. In relation to a trust, this includes settlor, trustee, and all beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust.

Send us your form

We accept this form returned to us by email or post.

Email

Please scan and email to: investorservices@dexus.com
(You can send up to 10mb of attachments.)

Post

Mail this completed form to: **APN Real Estate Securities,
PO Box 18011, Melbourne Collins Street East, VIC 8003**